



LegalSource™ Audit Report

for NINGBO FAN-U PET PRODUCTS CO., LTD

Assessment 2022

Report date: July 07, 2022

Certificate code: NC-LS-070007

Issued date: 06 August 2022

Organisation Contact

KUN NIE, General manager
Email: chantel@nbfanu.com

Audit managed by

East Asia Regional Office

Room 8021, 8th Floor, No. 26, Jinganli, Chaoyang
District, Beijing, China
Contact person: Huang Rui (Rick)
Tel: + 86-15910743172
Email: rhuang@preferredbynature.org

LegalSource Audit Report Template:	
Document Code:	LS-03
Type of document:	LegalSource Audit Report Template
Scope:	International
Status of document:	Approved
Version:	V 2.1
Date:	23 January 2019
Consultation period:	N/A
Approval body:	NEPCon
Contact person:	Alexandru Orban, Forestry System Specialist
Contact email:	aorban@preferredbynature.org

Contents

A. INTRODUCTION	4
B. SCOPE	4
C. AUDIT FINDINGS	7
D. CLOSED NON-CONFORMANCES	8

A. Introduction

The purpose of this report is to document conformance with the requirements of the LegalSource standard by **Ningbo Fan-U Pet Products Co. Ltd** hereafter referred to as "Organisation". The report presents findings of LegalSource auditors, who have evaluated the Organisation's systems and performance against the applicable requirements. The sections below provide the audit conclusions and follow-up actions required by the Organisation.

Dispute resolution: If stakeholders have concerns or comments about the LegalSource standard or the auditing body, they are encouraged to contact their closest NEPCon regional office. Formal concerns and complaints should be sent in writing.

B. Scope

The LegalSource audit, report and certificate covers the following scope:

Report Type	
Report type:	Confidential

Organisation Details	
Primary contact:	Kun Nie, General Manager
Address:	Room 320 · Building C · No.67 East Section of North Huancheng Road, Jiangbei District, Ningbo, Zhejiang Province, China.
Tel/Web/Email:	Tel: +86 15355281835 Email: chantel@nbfanu.com
Jurisdiction of primary legal entity:	China
Primary Activity	Broker/trader without physical handling
Description of Organisation:	NINGBO FAN-U PET PRODUCTS CO., LTD locates in China and it is a trader of cat claw board and cat scratcher made from post-consumer reclaimed corrugated paper which meets the recycled definition of the EUTR. The organization is small enterprise with less 5 than staff. The target product is exported to buyer in EU. Delta-Sport is a customer for this product. Via the documents review and key manager interview, the input used for production are all recycled material. The organization's activity and products are considered as negligible risk based on the Preferred by Nature DDS evaluation.

Certificate Scope	
Certificate Type	<input checked="" type="checkbox"/> Single site certificate <input type="checkbox"/> Group/ Multi-site certificate
Standards Evaluated:	<input checked="" type="checkbox"/> LegalSource Standard (LS-02) V2-1 <input checked="" type="checkbox"/> NEPCon Generic Chain of Custody Standard (NC-STD-01)

	<input type="checkbox"/> NEPCon Generic Group & Multi-Site Standard (NC-STD-02)
Product scope:	<p>Products: Cat claw board, HS code: 4823909000 Cat scratcher, HS code: 4823909000</p> <p>Species: NA. The product made by recycled corrugated paper that original waste paper sourced in China and South Africa. The general info are included in the documents DD-05 Supply Chain Information Sheet.</p> <p>Origin: China</p>
Changes to certificate scope since last audit:	N/A
Certificate Sites or Group members	
Site 1:	
Site name:	NINGBO FAN-U PET PRODUCTS CO., LTD.
Site Address/ Tel/Web/Email:	<p>Room 320, Building C, No.67 East Section of North Huancheng Road, Jiangbei District, Ningbo, Zhejiang Province, China.</p> <p>Tel: +86 15355281835</p> <p>Web : N/A</p> <p>Email: chantel@nbfanu.com</p>
Site Activity:	<p>Broker/trader without physical handling</p> <p>Source and sell cat claw board and cat scratcher, directly shipped to customers in EU from the tier 1 supplier.</p> <p>The certification scope includes 2 supply chains:.</p> <p>1. FSC Recycled 100% supply chain: Tier 1: NINGBO FAN-U PET PRODUCTS CO., LTD. (FC-COC-001586) – Tier 2 Zhejiang Jiayuan Pet Products Manufacturing Co., Ltd. (RR-COC-001853) – Tier 3 RUGAO XURI PACKAGING CO., LTD (SAI-COC-005392) – Tier 4 NINE DRAGONS WORLDWIDE (CHINA) INVESTMENT GROUP CO., LTD. (SGSHK-COC-330729) – Tier 5 Zhongnan (Tianjin) Recycled Resource Co., Ltd. (Wastepaper recycling organization).</p> <p>2. Recycled product supply chain: Tier 1: NINGBO FAN-U PET PRODUCTS CO., LTD. (FC-COC-001586) – Tier 2 Rugao Chaiwan paper products Co., Ltd. – Tier 3 – Tier 3 RUGAO XURI PACKAGING CO., LTD (SAI-COC-005392) – Tier 4 NINE DRAGONS WORLDWIDE (CHINA) INVESTMENT GROUP CO., LTD. (SGSHK-COC-330729) – Tier 5 Zhongnan (Tianjin) Recycled Resource Co., Ltd. (Wastepaper recycling organization).</p>
Product Scope:	See Certificate Scope "Product Scope" section above
Site visited during audit:	<input checked="" type="checkbox"/> NINGBO FAN-U PET PRODUCTS CO., LTD

Evaluation Process

<p>Audit team leader:</p>	<p>Huang Rui, Master of Forestry, has 8 years' experience in forest survey and sustainable forestry includes 2 years academic work. Huang Rui is working for NEPCon (Beijing) Technical Promotion Co., Ltd as forestry certification specialist who oversees East Asia forestry certification in NEPCon.</p> <p>Before that he worked at China Standard Conformity Assessment Co., Ltd as Forest certification and Fair Wild Program manager of the International Cooperation Department and he also had been a sustainable development department commissioner of APP (China) Forestry. He has attended the auditor training of CFCC, FSC and ISO9000. He is qualified as FSC FM and FSC CoC lead auditor and has participated audits in China mainland, Taiwan, HK, Japan, Korea, Vietnam and Thailand etc.</p>
<p>Description of Audit Process:</p>	<p>Prior to the onsite visit, the auditors performed a desk-based evaluation of the Due Diligence system and associated documents and records provided by the overall responsible person of the DDS.</p> <p>The onsite visit took place on July 07, 2022, where the only person involved in's DDS where physically present. The audit included the following itinerary:</p> <p>09:00-09:20 Opening meeting - introductions, the audit agenda, scope and objectives.</p> <p>09:20-12:00 Presentation by the organization of the basic structure, scope and overall design of the DDS and progress made in its development and implementation. Review of Quality Management aspects, in relation to the DDS: Policy, Responsibilities and Competences, Procedures, Scope, Internal Performance Monitoring of the DDS and other matters.</p> <p>13:00-16:00 Legal conformance of organization's supply chain. Review of supply chain information and supplier management procedures. Supply chain information may be requested for a sample relevant sources and supply chains.</p> <p>16:00-16:30 Audit team own time to summarize findings.</p> <p>16:40-17:00 Closing meeting: Summary of audit findings presented by Auditors (including identified non-conformances and observations, if applicable) discussion and next steps.</p>
<p>Actions taken by Organisation prior to report finalisation:</p>	<p>None</p>
<p>Notes for the next audit:</p>	<p>None</p>

C. Audit Findings

Audit Conclusion:	
Organisation approved: No non-conformances issued	<input checked="" type="checkbox"/>
Organisation not approved: Conformance with MAJOR non-conformance(s) required	<input type="checkbox"/>
Additional comments: None	

Non-Conformances

Non-conformance reports (NCRs) describe the non-conformances identified during audits. NCRs include defined timelines for the Organisation to demonstrate conformance. MAJOR non-conformances issued during assessments/reassessments shall be closed prior to issuance of the certificate. MAJOR non-conformances issued during annual audits shall be closed within the timeline specified in the NCR, or result in certificate suspension. Where applicable, all non-conformances against standard requirements are shown below:

None

Observations

Observations (Obs) are issued for the early stages of a problem which does not in and of itself constitute a non-conformance, but which the auditor considers may lead to a future non-conformance if not addressed by the Organisation or where general improvements may be made. Where applicable, all observations are shown below:

None

D. Closed Non-Conformances

Closed Non-Conformances

This section indicates where the Organisation has adequately addressed non-conformances issued during or since the last audit.

Any non-conformances which cannot be closed remain open and appear in Section C (above). Failure to comply with a minor non-conformance results in the NCR being upgraded to major; the specified follow-up action is required by the Organisation or involuntary suspension will take place.

N/A

