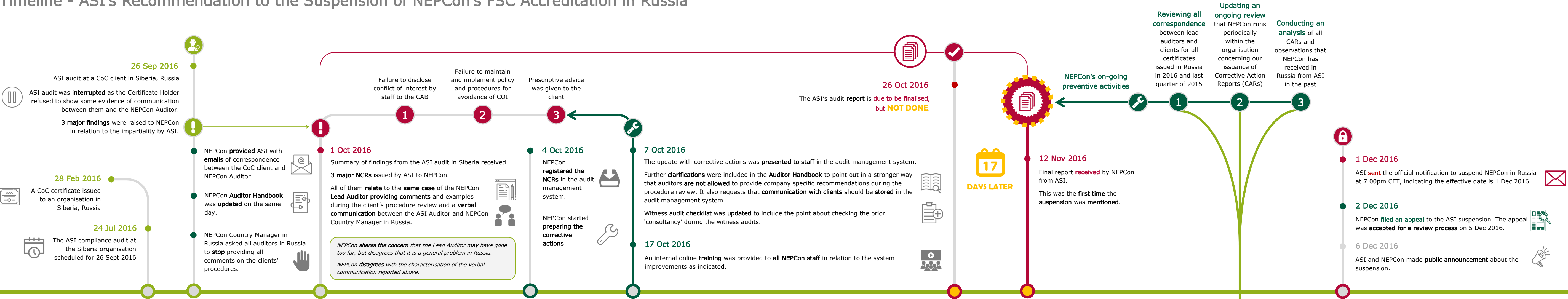


Timeline - ASI's Recommendation to the Suspension of NEPCon's FSC Accreditation in Russia



Review of client communication in Russia

Extracting emails between 1 October 2015 and 18 Nov 2016

50 FSC certificates have been issued in Russia during 2016.

41 FSC COC certificates & 9 FSC FM certificates

346 emails from the lead auditors to the clients were checked:

Emails that contain	No of cases	Share
No feedback	40	80%
Gaps identified	3	6%
Borderline comments	5	10%
Contribution to procedures	2	4%

The review analysed the **types** and **frequency** of issuance of CARs between and among NEPCon offices and auditors.

The Russian country office was **issuing significantly higher number** of CAR's for FM certification, above average for COC Minor CARs and slightly below average for COC Major CARs.

Evaluation of non-conformances issued by NEPCon

Average number of NCRs issued for **FSC FM certification** issued during assessment, audits and re-assessments in 2016

Country	Average	Major	Minor
NEPCon RU	~7.5	~0.2	~7.3
NEPCon PL	~2.5	~0.1	~2.4
NEPCon LV	~6.5	~0.2	~6.3
NEPCon LT	~4.8	~0.2	~4.6
NEPCon ES	~2.8	~0.2	~2.6
NEPCon EE	~2.2	~0.2	~2.0
NEPCon DK	~1.0	~0.1	~0.9
NEPCon BG	~3.8	~0.2	~3.6

Average number of NCRs issued for **FSC CoC certification** issued during assessment, audits and re-assessments in 2016

Country	Average	Major	Minor
NEPCon UK	~1.4	~0.2	~1.2
NEPCon RU	~0.8	~0.1	~0.7
NEPCon PL	~0.8	~0.1	~0.7
NEPCon LV	~1.5	~0.2	~1.3
NEPCon LT	~1.1	~0.2	~0.9
NEPCon ES	~0.9	~0.2	~0.7
NEPCon EE	~0.8	~0.2	~0.6
NEPCon DK	~1.2	~0.2	~1.0
NEPCon BG	~0.4	~0.1	~0.3

Review of ASI audits of NEPCon

Analysis of all the findings (both non-conformities - NCRs as well as observations - OBSs) from all audits conducted by ASI since the beginning of NEPCon accreditation on 26 Nov 2014.

31 audits done by ASI, in which 7 are in Russia

Type of audits	No. of audits in total	Out of these, in Russia
Office audits	5	1
Witness audits	22	3
Compliance audits	4	3
TOTAL	31	7

During these audits, ASI has issued **20 major** and **38 minor NCRs** and **55 OBSs** to NEPCon.

The most recent 3 NCRs were issued during the single audit in Siberia, Russia.

There have not been any NCRs previously raised by ASI in Russia in relation to **COI** or **impartiality** issues.

ASI has not identified any breaches of impartiality across the whole NEPCon organisation during any of the NEPCon accreditation audits.

RESULTS

This timeline has been approved by ASI.

Infographic size: 78 cm x 30 cm.

A1 paper should be used for printing this document.