



LegalSource™ Gap Analysis

Service information

Do you need to design, further develop or implement a due diligence system (DDS) for legal timber sourcing?

By discovering and effectively addressing the key challenges you are facing, you can minimise your risk of non-compliance with regulatory frameworks such as the EU Timber Regulation (EUTR).

Support throughout your process

Our LegalSource Gap Analysis service is designed to help you ensure that your due diligence work is on the right track, at every stage of the due diligence process.

We can help you to design and improve your due diligence system at all levels of its development and implementation:

- ✓ Gap Analysis can guide you on the initial design or re-design, including on the appropriate scope of a strong and robust due diligence system.
- ✓ If you already have an established due diligence system, we can conduct an all-round service check and help you to spot any gaps and weaknesses.
- ✓ Maybe you have identified your key challenges, but are not sure how to best address them? We provide guidance on how to tackle specific challenges, such as the assessment or mitigation of risks for particular supply chains.

Scope of the Gap Analysis

A LegalSource Gap Analysis typically covers the following key due diligence aspects. Depending on your situation, it may focus on just one or two of these.

Access to information



1

Access to robust and credible supply chain information is a key aspect of due diligence. We will review and provide guidance on the information available to you about the products you purchase, including any information about the origin.

Risk assessment



2

We will evaluate and provide guidance on systems and procedures to conduct effective risk assessments. If applicable, we will review your existing risk assessment results against our LegalSource standard requirements and judge their suitability in relation to any regulatory requirements.

We encourage you to raise any questions or concerns you may have about possible risks within your supply chains.

Risk mitigation



3

We may also evaluate your procedures and any risk control measures employed by you or your suppliers.

We can provide guidance on the suitability of such measures compared to any regulations. Our experience may point to possible solutions or alternative mitigation options for challenges that you are facing.





Action plan

Our findings and related guidance are combined into a list of prioritised recommendations for action to be taken to address any potential shortcomings, which have been identified.

The Action Plan:

- ✓ Outlines gaps, weaknesses of your current system and performance.
- ✓ Provides a roadmap for your further work, effectively unblocking your path to full implementation of your due diligence system.
- ✓ Outlines tailored solutions to the challenges that your organisation is facing.

Cost-effective support

This service is offered as a simplified, cost-effective solution, providing clear benefits, to organisations seeking operational advice on their due diligence system and performance.

The cost of a Gap Analysis depends on the range and scope of services. It typically covers a half-day or full-day on-site evaluation plus the preparation of a report and action plan. In some cases, a desk-based document review combined with web-based conference calls will suffice.

Consultation and practice-oriented guidance are built-in features of this service.

Confidentiality guaranteed

You may need to share confidential information about your products and trade with our experts.

We keep all your data secure, and our staff are bound by strict confidentiality requirements.

Filling in the gaps

What can you do about it if you are missing access to key information? How can you best address a certain risk in your supply chain? The Gap Analysis Action Plan includes feasible suggestions for robust approaches to tackling risk and gaps in your system.

Expertise matters

NEPCon is an international non-profit organisation working to build capacity and commitment for mainstreaming sustainability. We do this by supporting other organisations to take responsible approaches such as sourcing only legal timber.

We are specialists on responsible timber trade and related verification. With over 16 years' experience, we certify more than 1,500 timber supply chain organisations. Our customers range from forest organisations to retailers. We are actively involved in a number of international initiatives and projects supporting legal timber trade.

Based on our LegalSource Due Diligence System and our auditing competencies, NEPCon is formally recognised by the European Commission and EFTA as a EUTR Monitoring Organisation (MO).

Take the next step

Tap into our expertise to solve your due diligence needs.

Contact us to learn more or engage in this service:



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